

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                     |                 |   |                            |           |
|---------------------|-----------------|---|----------------------------|-----------|
| <b>Applicant:</b>   | Woodruff et al. | ) | <b>Examiner:</b>           | Perry, L. |
|                     |                 | ) |                            |           |
| <b>Serial No.:</b>  | 10/689,135      | ) | <b>Art Unit:</b>           | 4182      |
|                     |                 | ) |                            |           |
| <b>Filing Date:</b> | Oct. 20, 2003   | ) | <b>Attorney Docket No.</b> | 030677    |

**Title:** SYSTEM AND METHOD FOR INCREASING AN AMOUNT OF A SECURITY  
AVAILABLE FOR BORROW

**PRELIMINARY AMENDMENT**

Kirkpatrick & Lockhart Preston Gates Ellis  
Pittsburgh, PA 15222  
October 26, 2007

Commissioner for Patents  
Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Prior to examination, please amend the above-identified application as follows, wherein:

**Amendments to the Claims** begin on page 2; and

**Remarks** begin on page 8.